



EDMOND
DE ROTHSCHILD

PUBLICATION ON TOP 5 VENUES / BROKERS



EDMOND DE ROTHSCHILD, L'AUDACE DE BATIR L'AVENIR.



LIST OF THE 5 MAIN EXECUTION VENUES USED BY EDMOND DE ROTHSCHILD (EUROPE) IN 2022 AND INFORMATION REGARDING THE QUALITY OF EXECUTION OBTAINED.

As an investment firm, Edmond De Rothschild (Europe) (the “Bank”) is required to take every necessary measure to obtain the best result for its clients when it trades on their behalf.

In conformity with the Delegated Regulation 2017/576, the present document provides public information on the top five execution venues and quality of execution obtained. This information is given for each identified class of financial instruments listed in ANNEX 1 of the delegated regulation.

This publication is required to be updated annually.

For each class of financial instrument treated by the Bank, this document provides the following information:

- The venue name and identifier ;
- The volume of client orders executed on that execution venue expressed as a percentage of total executed volume;
- The number of client orders executed on that execution venue expressed as a percentage of total executed orders ;
- The confirmation of whether it has executed an average of less than one trade per business day in the previous year in that class of financial instrument.
- Percentage of passive/agressive orders : according to ESMA Q&A related to best execution (question 14) this information is not required in case of orders transmission to an other entity for execution.

Edmond de Rothschild (Europe) sends the client’s order to its intermediaries and selected, according to the asset type, Edmond de Rothschild (Switzerland) or Edmond de Rothschild (France) as unique intermediary. There is no differential treatment depending on whether the client is retail or professional.

Edmond de Rothschild (Europe) and its intermediaries are not a member of any market and does not benefit from any rebates, inducement or particular agreement with its brokers. Except with Edmond de Rothschild (France) and Edmond de Rothschild (Switzerland), the Bank does not have any link or joint



participation with them. Therefore there is no conflict of interest with the executions venues used.

Edmond de Rothschild (France) is itself subject to the obligations of best execution and publication of top five execution venue report. The information is available at the link below :

<https://www.edmond-de-rothschild.com>

The execution of clients' orders is made in the best interest of the clients. The Bank promotes market integrity and treats its clients fairly.

Client orders have been executed in compliance with the Execution policy of the Bank.

To ensure compliance with the best execution policy, the Bank is using a specialized and dedicated tool.



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EQUITIES – SHARES & DEPOSITARY RECEIPTS

RETAIL CLIENTS & PROFESSIONAL CLIENTS

Class of Instrument	Equities - Shares & Depositary Receipts				
<u>Notification if < 1 average trade per business day in the previous year</u>	NO				
<u>Top Five execution venues ranked in terms of trading volumes (descending order)</u>	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Edmond de Rothschild (France) LEI : 9695002JOWSRCLLLNY11	100%	100%	N/A	N/A	0%



DEBT INSTRUMENTS

RETAIL CLIENTS & PROFESSIONAL CLIENTS

Class of Instrument	Bonds				
<u>Notification if < 1 average trade per business day in the previous year</u>	NO				
<u>Top Five execution venues ranked in terms of trading volumes (descending order)</u>	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Edmond de Rothschild (France) LEI : 9695002JOWSRCLLLNY11	100%	100%	N/A	N/A	0%

Class of Instrument	Money markets Instruments				
<u>Notification if < 1 average trade per business day in the previous year</u>	YES				
<u>Top Five execution venues ranked in terms of trading volumes (descending order)</u>	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Edmond de Rothschild (Suisse) LEI : 52990049YMGDGTAMFX97	100%	100%	N/A	N/A	0%



Interest rates derivatives

RETAIL CLIENTS & PROFESSIONAL CLIENTS

Class of Instrument	Interest rates derivatives (Futures and options admitted to trading on a trading venue, swaps, forwards, and other interest rates derivatives)				
<u>Notification if < 1 average trade per business day in the previous year</u>	NO				
<u>Top Five execution venues ranked in terms of trading volumes (descending order)</u>	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Edmond de Rothschild (Suisse) LEI : 52990049YMGDGTAMFX97	100%	100%	N/A	N/A	0%



CREDIT DERIVATIVES

RETAIL CLIENTS & PROFESSIONAL CLIENTS

Class of Instrument	Credit derivatives (futures and options admitted to trading on a trading venue, other credit derivatives)				
<u>Notification if < 1 average trade per business day in the previous year</u>	YES				
<u>Top Five execution venues ranked in terms of trading volumes (descending order)</u>	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Edmond de Rothschild (France) LEI : 9695002JOWSRCLLLNY11	100%	100%	N/A	N/A	0%



CURRENCY DERIVATIVES

RETAIL CLIENTS & PROFESSIONAL CLIENTS

Class of Instrument	Currency derivatives (futures and options admitted to trading on a trading venue, swap, forwards, and other currency derivatives)				
<u>Notification if < 1 average trade per business day in the previous year</u>	NO				
<u>Top Five execution venues ranked in terms of trading volumes (descending order)</u>	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Edmond de Rothschild (Suisse) LEI : 52990049YMGDGTAMFX97	100%	100%	N/A	N/A	0%



STRUCTURED FINANCE INSTRUMENTS

RETAIL CLIENTS & PROFESSIONAL CLIENTS

Class of Instrument	Structured finance Instrument				
<u>Notification if < 1 average trade per business day in the previous year</u>	NO				
<u>Top Five execution venues ranked in terms of trading volumes (descending order)</u>	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Edmond de Rothschild (Suisse) LEI : 52990049YMGDGTAMFX97	73.26%	96.17%	N/A	N/A	0%
Edmond de Rothschild (France) LEI : 9695002JOWSRCLLLNY11	26.74%	3.83%	N/A	N/A	0%



EQUITY DERIVATIVES

RETAIL CLIENTS & PROFESSIONAL CLIENTS

Class of Instrument	Equity derivatives (options and futures admitted to trading on a trading venue, swaps and other equity derivatives)				
<u>Notification if < 1 average trade per business day in the previous year</u>	NO				
<u>Top Five execution venues ranked in terms of trading volumes (descending order)</u>	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Edmond de Rothschild (France) LEI : 9695002JOWSRCLLLNY11	100%	100%	N/A	N/A	0%



SECURITIZED DERIVATIVE (WARRANTS AND CERTIFICATE DERIVATIVES)

RETAIL CLIENTS & PROFESSIONAL CLIENTS

Class of Instrument	Warrant et Certificate Derivates				
<u>Notification if < 1 average trade per business day in the previous year</u>	YES				
<u>Top Five execution venues ranked in terms of trading volumes (descending order)</u>	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Edmond de Rothschild (France) LEI : 9695002JOWSRCLLLNY11	100%	100%	N/A	N/A	0%



EXCHANGE TRADED PRODUCTS, EXCHANGE TRADED FUNDS, EXCHANGE TRADED NOTES AND EXCHANGE TRADED COMMODITIES (ETP, ETF, ETN, ETC)

RETAIL CLIENTS & PROFESSIONAL CLIENTS

Class of Instrument	Exchange traded products, exchange traded funds, exchange traded notes and exchange traded commodities				
<u>Notification if < 1 average trade per business day in the previous year</u>	NO				
<u>Top Five execution venues ranked in terms of trading volumes (descending order)</u>	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Edmond de Rothschild (France) LEI : 9695002JOWSRCLLLNY11	100%	100%	N/A	N/A	0%